

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-19 14:59:52

2. **Agency:** 027

3. **Bureau:** 00

4. **Name of this Investment:** EPIC Operations and Maintenance (OandM)

5. **Unique Project (Investment) Identifier:** 027-00-01-02-01-1040-00

6. **What kind of investment will this be in FY 2011?:** Operations and Maintenance

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB? ***

8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The Federal Investigative Services (FIS) of the U.S. Office of Personnel Management (OPM) conducts 90% of the background investigations used by the Federal Government, processing over 2 million cases annually. To support processing this significant volume caseload, FIS uses a suite of information technology tools collectively known as EPIC. The EPIC acronym is derived from the system and/or applications that support the major process steps involved in conducting a background investigation: E...Electronic Questionnaires for Investigations (Initiating an Investigation), P... Personnel Investigations Processing (Conducting the Investigation), I... Imaging Capability (Retention and Delivery of the Investigation), C...Central Verification System (Reporting the Results of the Investigation). The EPIC environment is in a steady state of Operations and Maintenance designed to keep the production environment operational and represents a critical aspect of OPM's ability to fulfill its mission to "Recruit, Retain and Honor a World-Class Workforce to Serve the American People," by ensuring that agencies have sufficient information to make decisions such as credentialing, suitability, and/or security clearance determinations. Furthermore, this investment continues to support OPM's ability to meet the timelines for conducting a background investigation as set forth by the Intelligence Reform and Terrorism Prevention Act (IRTPA) and the Office of Management and Budget (OMB).

a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. **Did the Agency's Executive/Investment Committee approve this request? ***

a. **If "yes," what was the date of this approval? ***

10. **Contact information of Program/Project Manager?**

- **Name:** *
- **Phone Number:** *
- **Email:** *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
BPC510900004	Time and Materials	Y	2008-11-07	2008-11-07	2009-11-06	\$0.8	*	*	*	*	*
BPC510900005	Time and Materials	Y	2008-11-07	2008-11-07	2009-11-06	\$4.7	*	*	*	*	*
BPC510900006	Time and Materials	Y	2008-11-07	2008-11-07	2009-11-08	\$0.4	*	*	*	*	*
BPC510900007	Time and Materials	Y	2008-11-07	2008-11-07	2009-11-08	\$0.5	*	*	*	*	*
BPC510900009	Time and Materials	Y	2008-11-07	2008-11-07	2010-05-06	\$3.0	*	*	*	*	*
BPC510900011	Time and Materials	Y	2008-11-07	2008-11-07	2010-03-31	\$2.8	*	*	*	*	*
BPC510900012	Time and Materials	Y	2008-12-05	2008-12-13	2010-12-12	\$0.6	*	*	*	*	*
BPC510900013	Time and Materials	Y	2008-12-08	2008-12-13	2009-12-12	\$0.6	*	*	*	*	*
BPC510900014	Time and Materials	Y	2008-12-08	2008-12-13	2011-12-12	\$13.1	*	*	*	*	*
BPC510900015	Time and Materials	Y	2008-12-08	2008-12-13	2011-12-12	\$3.5	*	*	*	*	*
BPC510900016	Time and Materials	Y	2008-12-15	2008-12-16	2010-12-15	\$1.5	*	*	*	*	*
BPC510900017	Time and Materials	Y	2008-12-16	2008-12-16	2009-12-15	\$0.4	*	*	*	*	*
BPC510900028	Time and Materials	Y	2009-06-01	2009-06-09	2009-12-12	\$0.1	*	*	*	*	*
BPC510900030	Time and Materials	Y	2009-06-10	2009-06-10	2009-12-10	\$0.2	*	*	*	*	*
IAOPM1510G0049	Firm Fixed Price	Y	2009-12-21	2009-12-21	2010-09-30	\$3.3	*	*	*	*	*
IAOPM1510G0036	Firm Fixed Price	Y	2009-12-17	2009-12-17	2010-09-30	\$1.5	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2009	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	End-to-End Average Time for Fastest 90% (National Security)	N/A (New Metric)	Decrease to 74 Days	77 Days
2009	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% Received using e-QIP (National Security)	N/A (New Metric)	97%	97%
2009	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% Investigations Returned for corrections (National Security)	N/A (New Metric)	5%	8%
2009	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of results e-Delivered of Total Closed (National Security)	N/A (New Metric)	TBD	45%
2010	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	End-to-End Average Time for Fastest 90% (National Security)	77 Days	Decrease to 74 Days	61
2010	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce	*	*	% Received using e-QIP (National Security)	97%	97%	98%

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	possible to serve the American People						
2010	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% Investigations Returned for corrections (National Security)	8%	5%	6%
2010	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of results e-Delivered of Total Closed (National Security)	45%	TBD	91%
2011	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	End-to-End Average Time for Fastest 90% (National Security)	TBD	Decrease to 74 Days	TBD
2011	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% Received using e-QIP (National Security)	TBD	97%	TBD
2011	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% Investigations Returned for corrections (National Security)	TBD	5%	TBD
2011	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of results e-Delivered of Total Closed (National Security)	TBD	TBD	TBD

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	workforce possible to serve the American People						
2012	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	End-to-End Average Time for Fastest 90% (National Security)	TBd	Decrease to 74 Days	TBD
2012	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% Received using e-QIP (National Security)	TBD	97%	TBD
2012	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% Investigations Returned for corrections (National Security)	TBD	5%	TBD
2012	Hire the Best: Help agencies recruit and hire the most talented and diverse Federal workforce possible to serve the American People	*	*	% of results e-Delivered of Total Closed (National Security)	TBD	TBD	TBD

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Total EPIC Operations and Maintenance	\$26.3	\$23.8	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Total EPIC Operations and Maintenance	\$32.7	\$31.1	2009-10-01	2009-10-01	2010-09-30		94.00%	94.00%
Total EPIC Operations and Maintenance	*	*	2010-10-01		2011-09-30		0.00%	0.00%
Total EPIC Operations and Maintenance	*	*	2011-10-01		2012-09-30		0.00%	0.00%
Total EPIC Operations and Maintenance	*	*	2012-10-01		2013-09-30		0.00%	0.00%
Total EPIC Operations and Maintenance	*	*	2013-10-01		2014-09-30		0.00%	0.00%

* - Indicates data is redacted.